

02/09/00 1c482 U.S. PTO 09/50/1540 02/09/00	<b>UTILITY PATENT APPLICATION TRANSMITTAL</b> For new nonprovisional applications under 37 CFR 1.53(b)	<b>Attorney Docket No.:</b> P-01800-US1 <b>Total Pages:</b> 2
		<b>First Named Inventor or Application identifier:</b> Michael D. Hitchcock et al.
		<b>Express Mail Label No.:</b> EJ057690753US
<b>APPLICATION ELEMENTS</b> See MPEP chapter 600 concerning utility patent application contents.		<b>ADDRESS TO:</b> Assistant Commissioner for Patents Box Patent Application Washington, D.C. 20231

1. ☒ Fee Calculation — at bottom of this transmittal form.
2. ☒ Specification — Total Pages: 20, including 5 page(s) of claims
3. ☒ Six (6) sheets total drawing(s) (Figs. 1-6) (35 U.S.C. 113).
4. ☒ Oath or Declaration — Total pages: 3
  - a. ☒ Newly executed (original or copy)
  - b. ☐ Copy from a prior application (38 CFR 1.63(d))  
 (for continuation/divisional with Box 17 completed)  
 [Note Box 5 below]
    - i. ☐ Deletion of Inventor(s). Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
  - c. ☐ Unsigned.
5. ☐ Incorporation by Reference [useable if Box 4b is checked].  
 The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
6. ☐ Microfiche Computer Program (*Appendix*)
7. ☐ Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
  - a. ☐ Computer readable copy
  - b. ☐ Paper copy (identical to computer copy)
  - c. ☐ Statement verifying identity of above copies

**ACCOMPANYING APPLICATION PARTS**

8. ☒ Assignment papers (cover sheet & document(s))
9. ☐ 37 CFR 3.73(b) Statement (when there is an assignee)
  - ☐ Power of Attorney
10. ☐ English Translation Document (if applicable)
11. ☐ Information Disclosure Statement (IDS)/PTO-1449
  - ☐ Copies of IDS citations
12. ☒ Preliminary Amendment
13. ☒ Return receipt postcard (MPEP 503) (Should be specifically itemized)
14. ☒ Small entity statement(s)
  - ☐ Statement filed in prior application, status still proper and desired
15. ☐ Certified copy of priority document(s) (if foreign priority is claimed)

**CERTIFICATE OF EXPRESS MAIL**

I hereby certify that this paper or fee is being deposited with the United States Postal Service via "Express Mail Post Office to Addressee," Mailing Label No. EJ057690753US, under 37 CFR 1.10, on the 9th day of February, 2000, and is addressed to the Box Patent Application, Assistant Commissioner for Patents, Washington, D.C. 20231.

By: S. Leslie Marlow  
 Name: S. Leslie Marlow

16. ☐ Other: \_\_\_\_\_

17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)  
of prior application No.:

18. **CORRESPONDENCE ADDRESS**

☐ Customer number or bar code label  
INSERT CUSTOMER NO. OR ATTACH BAR CODE LABEL HERE

or

☒ Correspondence address below:

Name: Michael O. Scheinberg

Address: Fulbright & Jaworski, L.L.P., 600 Congress Avenue, Suite 2400

Austin, Texas 78701

Telephone: (512) 322-5272

Facsimile: (512) 320-4598

19. **FEE CALCULATION FORM**

CLAIMS AS FILED				
✓	Fee for:	Entity		Amount
		<input checked="" type="checkbox"/> Small	<input type="checkbox"/> Other	
✓	Basic fee	\$345.00	\$690.00	\$345.00
	Each independent claim in excess of 3	0 x \$39.00	0 x \$78.00	0.00
✓	Each claim* in excess of 20	4 x \$9.00	0 x \$18.00	36.00
	Multiple dependent claim (one-time fee)	___ x \$130.00	0 x \$260.00	0.00
✓	Assignment recordations fee (one assignment enclosed, with cover recordations form cover sheet)			40.00
*Including the total number of claims to which direct reference is made in all multiple dependent claims		TOTAL FILING FEE --		\$421.00

☒ Enclosed is our firm's check in the amount of \$421.00 for the filing fee.

☐ Please charge my Deposit Account No. 50-1212 in the total amount of the filing fee and the assignment recordation fee, if any, under order no. 09900883.

☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 50-1212, under order no. 09900883.

☒ Any additional filing fees required under 37 CFR 1.16.

☒ Any patent application processing fees under 37 CFR 1.17.

  
Michael O. Scheinberg

Reg. No. 36,919

ATTORNEY OF RECORD

Applicant or Patentee: Michael D. Hitchcock, James H. Wolfston, Matthew W. Hickey  
 Serial or Patent No.: \_\_\_\_\_  
 Filed or Issued: \_\_\_\_\_  
 For: A Method for Paperless Attachment of Supplementary forms to a World Wide Web Application

**VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS  
 (37 CFR 1.9(f) and 1.27(c)) — SMALL BUSINESS CONCERN**

I hereby declare that I am

- ☐ the owner of the small business concern identified below:  
☒ an official of the small business concern empowered to act on behalf of the concern identified below:

NAME OF CONCERN: CollegeNET, Inc.  
 ADDRESS OF CONCERN: One S.W. Columbia, Suite 100  
Portland, Oregon 97258

I hereby declare that the above-identified small business concern qualifies as a small business concern as defined in 13 CFR 121.3-18, and reproduced in 37 CFR 1.9(d), for purposes of paying reduced fees under section 41(a) and (b) of Title 35, United States Code, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention, entitled A METHOD FOR PAPERLESS ATTACHMENT OF SUPPLEMENTARY FORMS TO A WORLD WIDE WEB APPLICATION, by inventor(s), Michael D. Hitchcock, described in:

- ☒ the specification filed herewith  
☐ application Serial No. \_\_\_\_\_, filed \_\_\_\_\_.  
☐ Patent No. \_\_\_\_\_, issued \_\_\_\_\_.

If the rights held by the above-identified small business concern are not exclusive, each individual, concern or organization having rights to the invention is listed below\* and no rights to the invention are held by any person, other than the inventor, who would not qualify as an independent inventor under 37 CFR 1.9(c) if that person made the invention, or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).

\*NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities (37 CFR 1.27).

NAME: None  
 ADDRESS: \_\_\_\_\_  
☐ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☐ NONPROFIT ORGANIZATION

NAME: \_\_\_\_\_  
 ADDRESS: \_\_\_\_\_  
☐ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☐ NONPROFIT ORGANIZATION



Applicant: Michael D. Hitchcock, James H. Wolfston, Matthew W. Hickey  
 Serial No.: \_\_\_\_\_  
 Filed: \_\_\_\_\_  
 Title: A Method for Paperless Attachment of Supplementary Forms to a World Wide Web Application

**VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS  
 (37 CFR 1.9(f) and 1.27(b)) — INDEPENDENT INVENTOR**

As a below named inventor, I hereby declare that I qualify as an independent inventor as defined in 37 CFR 1.9(c) for purposes of paying reduced fees under section 41(a) and (b) of Title 35, United States Code, to the Patent and Trademark Office with regard to the invention entitled, **A Method for Paperless Attachment of Supplementary Forms to a World Wide Web Application**, described in

- ☒ the specification filed herewith  
☐ application Serial No. \_\_\_\_\_, filed \_\_\_\_\_.

I have not assigned, granted, conveyed or licensed and am under no obligation under contract or law to assign, grant, convey or license, any rights in the invention to any person who could not be classified as an independent inventor under 37 CFR 1.9(c) if that person had made the invention, or to any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).

Each person, concern or organization to which I have assigned, granted, conveyed, or licensed or am under an obligation under contract or law to assign, convey, or license any rights in the invention is listed below:

- ☐ no such person, concern or organization  
☒ persons, concerns or organizations listed below\*

\*NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities (37 CFR 1.27).

FULL NAME: CollegeNET, Inc..  
 ADDRESS: One S.W. Columbia, Suite 100, Portland, OR 97258  
☐ INDIVIDUAL ☒ SMALL BUSINESS CONCERN ☐ NONPROFIT ORGANIZATION

FULL NAME: \_\_\_\_\_  
 ADDRESS: \_\_\_\_\_  
☐ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☐ NONPROFIT ORGANIZATION

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate (37 CFR 1.28(b)).

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

<u>Michael D. Hitchcock</u>	<u>James H. Wolfston</u>	<u>Matthew W. Hickey</u>
Name of Inventor	Name of Inventor	Name of Inventor
Signature of Inventor	Signature of Inventor	Signature of Inventor
		